

NEW REGISTRATION RENEWAL OF REGISTRATION UPDATE NEW BANK A/C FOR SIP DEBIT

REGISTRATION CUM MANDATE FORM FOR ECS (Debit clearing) / Direct Debit/Standing Instructions

Sub Broker ARN Code	Sub Broker/Branch/RM Internal Code	Employee Unique Id. No. (EUIIN)	For Office use only
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I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Sole / FirstApplicant's Signature Mandatory

INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name _____
 Existing Investor Folio No. _____ OR Application no. for New Investors _____
 Scheme **DSP BlackRock**
 Plan _____ Option/Sub option _____
 Email ID: (In capital) _____
 Mobile Number: + 9 1 _____ (For SMS Alerts) (For Email Delivery instead of physical account statement.)

Sole / First Applicant / Guardian Second Applicant / Guardian Third Applicant / Guardian

PAN & KYC

In case of Micro SIP (Refer Instruction 3)

KRA Reference No. _____

SIP AND DEBIT DETAILS

Each SIP Amount (Rs.) _____ Frequency Monthly* Quarterly (Minimum 12 instalments, 6 in case of DSPBR Tax Saver Fund)

SIP Debit Date: 1st* 7th 14th 21st 28th (Please tick only one date. Use separate forms for different dates)

SIP Period (Including cheque) Start Month _____ End Month _____ OR DEC-2040* *Default Options

(Note: There should be a minimum time gap of one month and maximum time gap of two months between the first cheque for SIP investment and first instalment of SIP Debit)

First SIP Cheque No.: _____ Cheque date _____

(Cheque amount same as Auto Debit Amount. Should be current dated & drawn on bank whose details are provided below.)

Mandatory Enclosure Cheque Copy Cancelled Cheque Banker's attestation _____

PARTICULARS OF BANK ACCOUNT

I/We hereby authorise DSP BlackRock Mutual Fund and their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) / Direct Debit/SI to account for collection of SIP payments. (First Unit Holder should be amongst one of bank account holders.)

Account holder Name as in Bank Account _____
 Bank Name _____
 Branch Name & Address _____
 Account Number _____
 (Core Banking No. in full) _____ A/c Type Savings Current NRE NRO Others _____

9 Digit MICR Code _____ **DSP BlackRock MF will not be responsible for any bank rejection or failed debit or transaction reversal due to funds not received from investors' bank for any reason including mandate not received by bank.**

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Schemes of DSP BlackRock Mutual Fund mentioned within, I hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS/Direct Debit/Standing Instructions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible, I will also inform DSP BlackRock Mutual Fund, its service providers and bank about any changes in my bank account. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf. In case of Micro SIP application without PAN, I/We hereby declare that I/we do not have any existing Micro SIPs with DSP BlackRock Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other model), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. **[Signature as per Mutual Fund Records/Application]**

First Unit Holder's Signature	Second Unit Holder's Signature	Third Unit Holder's Signature
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Authorisation of the Bank Account holder (to be signed by the Bank Account holder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit/Standing Instructions Facility and that my/our payment towards my/our investment in DSP BlackRock Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative of DSP BlackRock Mutual Fund carrying this mandate form to get it verified & executed. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf.

Bank Account Number _____

[Signature as per Bank Records]

First Account Holder's Signature	Second Account Holder's Signature	Third Account Holder's Signature
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Acknowledgement (Subject to verification)

DSP BlackRock Mutual Fund

Investor's Name _____
 Folio No. _____ Scheme _____
 SIP Date _____ SIP Amount (Rs.) _____ Frequency: Monthly Quarterly Cheque No. _____
 New Registration Renewal of Registration Update New Bank A/c For SIP Debit

Customers with a bank account with following bank branches can avail of SIP Facility using this form.

- All bank branches participating in Reserve Bank of India (‘RBI’) Electronic Clearing System (‘ECS’) /National Electronic Clearing System (‘NECS’)/Regional Electronic Clearing System (‘RECS’) facility.
- **All Core Banking branches of following banks, irrespective of location:**
Allahabad Bank, Axis Bank, Bank of Baroda, Bank of India, Citibank N. A., Corporation Bank, Federal Bank, HDFC Bank, ICICI Bank, IDBI Bank, Kotak Mahindra Bank, Punjab National Bank, State Bank of India, South Indian Bank, Union Bank of India

- Please refer the SID, SAI and Key Information Memorandum, all Instructions, Addenda issued from time to time and our website www.dspblackrock.com for instructions, terms and conditions, Applicable NAV, Risk Factors, load and other information, various requirements of the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
- This facility is only offered to those investors having bank accounts in certain bank branches as mentioned above. The list of cities, banks and branches may be modified/updated /changed/ removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If the SIP auto debit facility is discontinued in a city for any reason, SIP instructions for investors in such city via ECS (Debit) route/Direct debit/SI may be discontinued without prior notice.
- PAN and KYC requirement is mandatory for all investors. However, investments through Micro SIPs, i.e. where aggregate of installments per financial year per investor does not exceed Rs. 50,000, are exempt from the requirement of PAN. However, KYC requirement are mandatory for such investors. Such Investors need to complete the necessary KYC requirements and get a unique reference number from KRA’s system. A copy of the KRA issuance letter containing the unique reference number should also be attached with each application/transaction.
- If SIP application form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits may not happen and SIP instruction may get rejected.
- In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
- To avail of SIP in separate schemes/options via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously and may be rejected. Facility to register multiple SIP with similar details, i.e. same folio, same scheme, same amount, same date and same auto debit bank mandate is not available due to system constraints in ECS (Debit) as well with some of the banks offering standing instructions/direct debit.
- Complete application form and SIP debit form along with the first cheque (drawn on the same bank account which is to be registered for ECS Debit/Direct Debit / Standing Instruction) should be submitted to the Mutual Fund or the Registrar. AMC reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
- Existing Investors are required to submit the SIP debit form, indicating the existing Account Number and investment details in the SIP section of this form.
- In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to the bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively, investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
- It will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP start date mentioned on the form.
- The SIP period should cover minimum 12 installments, whether monthly or quarterly. The Mutual Fund and the Registrar reserve the right to modify the SIP period depending on the one month period for registration and to ensure minimum installments, even if the investor has submitted the form late or requested for a period less than minimum installments.
- If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. If no end date is specified or in the absence of SIP end date, SIP will be registered till December 2040.
- The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
- The Debit mandate signed by the unit holder(s) will be duly sent by the AMC/RTA to unit holder(s) bank for registration under various arrangements as Direct Debit (DD) or Standing Instruction (SI) or RBI ECS (Debit) facility through AMC’s agents, within a period of thirty days via courier or post without any responsibility on the part of AMC.
- It will be the sole responsibility of the unit holder(s) bank and its branch to ensure proper registration of the debit mandate and confirm registration. If no confirmation of registration or rejection is received, the AMC/RTA/it’s agents will deem the same to be registered and confirm the registration to unitholder(s)/s entirely at the risk of unit holder(s).
- Some banks and branches may have charges in whatsoever manner for mandate registration and / or transactions to their bank account holders, which will be borne by the account holder only and will not be borne by the AMC or the fund.
- The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction. The investor hereby declares that the particulars provided are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason by the investor’s banker, the investor would not hold the Mutual Fund or the Bank responsible. If the date of debit to the investor’s account happens to be a non Business Day as per the Mutual Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID, SAI, KIM and all relevant Addenda of the scheme concerned.
- The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of bank’s computer system, force majeure events, or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
- The Mutual Fund, AMC, its Registrars, Banks and other service providers shall not be liable for any late registration of SIP with the bank for whatsoever reason or rejection of SIP registration with the bank with or without any reason, and will not be liable for any transactions not processed or reversed or rejected till the registration is successful.
- For change in bank mandate, the SIP dates and the amount will not be considered even if mentioned. The SIP amount and the SIP tenure will remain the same as provided at the time of initial registration.
- For the purpose of SIP investments, the investor and/or bank accountholders authorizes AMC, its registrars, bankers, correspondent bankers and service providers, to raise a debit on the mentioned account with the bank branch. The investor and/or bank accountholders hereby authorizes the bank to honor all such requests received through AMC, its registrars, bankers and service providers to debit their Account with the amount requested, for due remittance of the proceeds to AMC. The investor/s or the bank accountholders shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. Investors will not have any claim against the AMC, service providers, bankers, correspondent bankers and service providers in respect of the amount so debited pursuant to the mandate submitted by the investor/account holder. Investor/s and/or the bank account holders shall keep the AMC, its Registrar, bankers, correspondent bankers and other service providers, jointly and or severally indemnified, from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by them, by reason of their acting upon the instructions issued by the named authorized signatories / beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter from the investor submitted atleast one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at AMC/Registrar/bank counters and giving reasonable notice to effect such withdrawal.
- To modify SIP debit bank mandate, the investor may fill a separate form, viz., “Change of Payment Mechanism form” which is available at all the offices of the Mutual Fund. Instructions in any other form / manner are liable to be rejected.
- This request for debit mandate is valid until it is revoked by the investor through a written SIP cancellation request in the designated form withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at bank counters. Such request must be submitted atleast one month prior to the due date of the next SIP, failing which SIP debit may be processed. If the cancellation/termination / withdrawal request is submitted to the AMC/Registrar, the customer agrees that it is an authority to the AMC/Registrar to instruct the debit bank on discontinuation of SI and Customer will not hold Bank responsible for any SI cancellation based on AMC instruction.
- Investors agree that the AMC may discontinue the SIP facility for any investor / folio entirely at it’s discretion and advise banks for SI cancellation in case one or more debits are rejected and funds not received for any reason.
- The Unit holder(s) agree that the Fund/AMC / RTA and their agents shall not be held liable for any unsuccessful registration and or transaction due to any action or inaction of the unit holders’ bank including but not limited to reasons mentioned below and agree to indemnify the Fund/AMC/RTA for all liabilities, losses, damages and expenses which they may consequent sustain or incur either directly or indirectly:
 - Loss of the debit mandate form in transit from point of acceptance of the form to RTA head office and further to the unit holder(s) bank branch;
 - Non acceptance or rejection of debit mandate for whatsoever reason by the unit holder(s) bank branch, with or without any reason assigned by the unit holder(s) bank;
 - Non registration of the debit mandate by the unit holder(s) bank and branch;
 - Deemed registration due to non confirmation of registration or rejection by the bank and any subsequent rejection of debit of bank account for funds;
 - Non availability of funds in the bank account of the Unit holder(s) at the time of debit;
 - Rejection of registration or transaction debit for any reason or without assigning any reason whatsoever.
- Note on EUIN:** Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor “I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an “execution-only” transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction”.

SIP AUTO DEBIT FORM CHECKLIST

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| <input type="checkbox"/> Application Form is complete in all respect. Name, Application Number/ Folio, Scheme, Plan, Option are mentioned clearly | <input type="checkbox"/> Bank Name, Full Branch Address, Full Account Number, MICR Code for debit has been mentioned clearly and legibly. |
| <input type="checkbox"/> SIP Date, SIP Frequency has been ticked correctly | <input type="checkbox"/> Form is signed by all applicants as in bank records in two places as specified. |
| <input type="checkbox"/> Start Date and End Date is clearly mentioned as Month – Year. | <input type="checkbox"/> Auto Debit is from first Unit Holder’s own bank account only. |